

Indo-European * $\theta_2\acute{k}P\acute{e}wā$ ‘elder, *Sambucus nigra L.*’ and its Baltic descendants

KRZYSZTOF TOMASZ WITCZAK

(Łódź)

Abstract

In his paper the author reconstructs for the first time the Indo-European term for ‘*Sambucus nigra L.*’ and discusses phonological and semantic aspects of this proposal. The original meaning of the archetype * $\theta_2\acute{k}P\acute{e}wā$ is preserved not only in the Baltic languages such as Jatvingian (a gloss *fjale* ‘bez’, i.e. ‘elder’) and Lithuanian (*šeivā-medis*, *šeiv-medis* ‘elder-tree’), but also in Greek and Dacian. In Armenian this appellative means ‘ash-tree’, whereas in Albanian and in Bessian it has probably undergone a deeper semantic divergence: ‘*Sambucus nigra*’ > ‘*Ilex aquifolium*’ > ‘*Tussilago farfara*’.

1. POSSIBLE EVIDENCE

Evidence for I.E. * $\theta_2\acute{k}P\acute{e}wā$ f. ‘elder’ is seen, according to my opinion, in Greek *ἀκτέα* f. ‘elder’, also *ἀκτέος* (m.), Attic *ἀκτῆ* f. ‘id.’ (whence Lat. *acte*) with a secondary contraction, Armenian *hac‘i* (gen. pl. *hac‘eac*) ‘ash’, Dacian *σέβα*, *seva* f. ‘elder’, Jatvingian *fjale* ‘*Sambucus nigra*’ and Lithuanian *šeivā-medis*, *šeiv-medis* ‘elder’ (cf. *mēdis* ‘tree’). It cannot be excluded that two other cognates (Bessian *ἀσᾶ*, Albanian *ashë* ‘*Ilex aquifolium*, *Tussilago farfara*’) belong here as well.

2. REMARKS ON GREEK ἀκτέα

So far no etymology for Gk. ἀκτέα has been proposed, see, e.g., Boisacq (1950:39), (Frisk 1960:60-61), Chantraine (1968:52). However, if this word belongs to the Greek arboreal vocabulary of Indo-European origin, the etymology presented here for the first time may become an important achievement in research. The Greek term requires no additional comments, because the derivation of Gk. ἀκτέα 'elder' from IE. * θ_2 kP éwā 'id.' presents no phonological difficulties, and, on the other hand, the semantic aspects are unquestionable. Thus the Greek word for 'elder' seems to be the basic reflex of the Indo-European etymon.

3. A CONTROVERSY ON THE ORIGIN OF ARMENIAN *hac'i* 'ASH'

Traditionally, Indo-Europeanists have compared the Armenian term for 'ash', *hac'i*, with Germanic *askaz/*askiz m. 'id.'¹, Albanian *ah* (from IE. *oskos f. 'ash'), Indo-Aryan *aṣká- (and *aksá- by metathesis of -sk-) 'a kind of deciduous tree'² and Greek δένη, δέέα f. 'beech' (Pokorny 1959:782; Friedrich 1966:20, 1970:92-98), but this connection is unsatisfactory on account of the unexpected *a*-vocalism in the Armenian word³ and because of the presence of Arm. *c* indicating a consonant group with the Indo-European palatal *k, namely -sk-, -ks- and k̚-, whereas the Indo-European velar stop *k (see Mann name for 'ash, *Fraxinus*' contains the Indo-European velar stop *k (see Mann

¹Cf. OIsl. *askr* m. 'ash-tree; ashwood spear, ship', Isl. *askur*, Norw. *ask*, OSwed. *asker*, Swed. Dan. *ask* 'ash', OE. *aesk* 'ash; ashwood spear, boat' (all from Gmc. **askaz* m.) and OHG. *asc*, OSax. *ask* (both from Gmc. **askiz* m.). See especially De Vries (1961:15), s.v. *askr*.

²Cf. Skt. akṣá- m. 'the tree *Elaeocarpus ganitrus*', Nepali ākh 'the tree *Croton oblongifolius* (?)', Modern Singhalese aka 'the tree *Terminalia bellerica*' (Turner 1966: No. 22). The Ugro-Fennic terms for 'elm, ash' (Mord. *ukso*, *uks* 'elm, *Ulmus*; ash, *Fraxinus excelsior*'; Cher. ošk 'ash, poplar', dial. oško 'black poplar' < UF. **oksa* or **oska*) are clearly borrowings from Indo-Aryan (cf. Pokorny 1959:782; Rédei 1986:55) and they might conceivably belong here as well. It should be added that the metathesis of -sk- > -ks- is also attested in Gk. δένη, δέέα f. 'beech, *Fagus*' ([as if] from IE. **oskúwā*/**oskéwā* f. 'ash, *Fraxinus*').

³Georgiev (1981:145) explains the development of IE. *o to Arm. a, suggesting that *hac'i* 'ash' belongs to the Mysian component of the Armenian vocabulary. Unfortunately, the thesis about the existence of two components in Armenian is in the air and can be hardly accepted.

1984-1987:894, s.v. *oskos*), perfectly attested in the Albanian and Indo-Aryan forms. In other words, the Armenian word *hac'i* 'ash' (with an irregular *h*- as in the case of *hum* 'ram' or *hot* 'odour', cf. Schmitt 1981:47) cannot be derived from IE. **oskéwā* (cf. Gk. δέέα 'beech' with the metathesis of -sk- > -ks-) since one would expect to find Arm. ***oši* and perhaps **uši*⁴, and therefore it seems preferable to posit IE. * θ_2 kP éwā (* θ_2 kP éwos) as the source form of Arm. *hac'i* which closely resembles the source form of Greek ἀκτέα (ἀκτέος) 'elder'. Thus both the Greek and Armenian nouns trace back to the same Indo-European protoform * θ_2 kP éwā (* θ_2 kP éwos) 'elder, *Sambucus nigra* L.' with a rare consonant group -kP⁵.

ADDENDUM: Having written this paper I found that the Greek word δέέα 'beech' can be exactly connected with Arm. *uši* 'a kind of tree', dial. *hoši* 'a particular bush' (Džaukjan 1967:255). In respect of its shape, the correspondence of Arm. *uši* (and *hoši* with an irregular *h*-) and Greek δέέα 'beech' (both from IE. **oskéwā* f.) is quite analogical to some other Greek-Armenian names of deciduous trees and plants, for instance, (a) Arm. *hac'i* 'ash' = Gk. ἀκτέα / ἀκτέος 'elder'; (b) Arm. *teli* 'elm' = Gk. πτελέα, Myc. pte-re-wa 'id.' (see 3.5); (c) Arm. *mori* = Gk. μορέα f. 'mulberry-tree' (see 3.6).

4. THE ARBOREAL TERMINOLOGY BEGINNING WITH IE. * θ_2 - AND THE SUFFIX -éwā (-éwos)

The initial Greek ἀ- and Armenian *ha* (if *h* is of a secondary origin) can reflect the "prothetic vowel" * θ_2 , which occurs in other Indo-European

⁴An unexpected vowel lengthening before -s- is frequent in Armenian, e.g.

(1) Arm. ēš (gen. sg. išoy) 'ass, donkey' < IE. *ékwos m. 'horse', cf. Skt. ásvah, Avestan aspa-, Lat. equus 'id.';

(2) Arm. gišer (o-stem) 'evening, night' < IE. *wéskw eros m. 'evening', cf. Gk. ζεπέρως, Lat. vesper ([as if] from Osci-Umbrian), OIr. fescor, Welsh ucher (all from IE. *wéskw eros/*wéskw oros m. 'evening' (Illič-Svitjć 1979:155, fn. 21).

⁵This consonant group appears in the most ancient Indo-European terminology, such as IE. *kP inos 'kite' (cf. Gk. ἵκτινος 'a bird of prey, esp. kite' = Arm. c'in 'kite, vulture'), *HrkP os 'bear, *Ursus*' (cf. Gk. ἄρκτος, Arm. ar̚ < *arc', OIr. art, Lat. ursus 'id.') and *kPoryanos f. 'wheat' (Arm. c'orean = MIr. tuirenn 'id.'), see Gunnarson (1971:49-51), Witczak (1992:69-70). Brugmann's notation /kP/ is used here quite consciously because I think that it is more precise than both Cuny-Benveniste's /k's/ and Kretschmer-Szemerényi's /tk/ notations. The problem of Brugmann's 'interdental spirants' shall be discussed by me in a separate paper.

names of deciduous trees and plants, e.g.

4.1. Gk. ἄπιος f. ‘pear-tree’, ἄπιον n. ‘pear [fruit]’; Lat. *pirus*, also *pira* f. ‘pear-tree’, *pirum* n. ‘pear [fruit]’; Iranian *piša- ‘pear [tree and fruit]’: Wakhi *pēšu*, whence comes Burushaski *phēšo*, Werchikwar *phēšu* ‘id.’ (all trace back to IE. * θ_2 píos f. ‘pear-tree’, θ_2 píosom n. ‘pear [fruit]’);

4.2. Gk. ἀσπάραγος, Attic ἀσφάραγος m. ‘young shoot’, also ‘stone sperage, *Asparagus acutifolius*’; Skt. *sphūrjah* m. ‘the plant *Diospyros embryopteris*’; Avest. *spərəγa-* ‘a prong’, Wakhi *sprag* ‘flower’; Lith. *spūrgas* m. ‘shoot, sprout, bud, frige’ (all come IE. * θ_2 spr h_2 gos m. ‘a sprout’);

4.3. Gk. ἀφάκη ‘tare, *Vicia angustifolia*'; Alb. *bathë* f. ‘beans’ (both from IE. * θ_2 bhákā f. ‘a leguminous plant’);

4.4. Gk. ἀχερόδος f. ‘wild pear-tree, *Pyrus amygdaliformis*’, Laconian ἄχραξ, -άδος f. ‘id.’ (< * θ_2 gherdos beside * θ_2 ghrd-s); Mac. ἄγερδα f. ‘common pear-tree, *Pyrus communis*'; Alb. *dardhë* f. ‘pear-tree’ (< * θ_2 ghrdā); Toch. *kärdo f. ‘pear-tree’, whence Turkish and Ossetic appellatives for ‘pear-tree’.

The suffix *-ewā* (-éwos) appears regularly in the Indo-European arboreal terminology (not only in the word * θ_2 kPéwā ‘elder’) and may be found in such words as, e.g.,

4.5. Gk. πτελέα f. ‘elm, *Ulmus campestris*’, Ionic πτελέη, also πελέα ‘id.’ in Epidauros, Myc. pte-re-wa beside pe-te-re-wa, Modern Greek φτελία ‘elm’; Arm. *t'eli* (gen. sg. *t'eleac* ‘elm’⁶; Ossetic (Iron) *färw*, (Digoron) *färvā* ‘alder’; OHG. *fēlawa*, *fēlwa* f. ‘willow’, MHG. *velwe* f. (and *velwē* m.), German *Felbe* f. (and *Felber* m.) ‘white willow’ (all from IE. *p \acute{h} eléwā f. ‘a deciduous tree, probably elm’ (see especially Boisacq 1950:820, Gunnarsson 1971:68, Čop 1970:22));

4.6. Gk. Attic μορέα, Ionic μορέη f. ‘mulberry-tree’, Modern Greek μουρία ‘id.’; Arm. *mori* (gen. pl. *moreac* ‘bush of the mulberry’ (both from IE. *moréwā f. ‘mulberry-tree’, cf. also Gk. μόρον n. ‘mulberry’ beside

⁶Some comparatists, such as Hübschmann (1962:375), Pokorny (1959:847), Frisk (1967:611), treat Arm. *t'eli* as a borrowing from Gk. πτελέα ‘elm’, but Džaukjan (1967:96) rightly asserts that it is genuine Armenian. On the other hand, Pokorny (1959:847) erroneously separates the Greek and Armenian words for ‘elm’ from the Iranian and Germanic cognates (differently Szemerényi 1979:332). He prefers the correspondence between Gk. π(τ)ελέ(F)α ‘elm’ and Lat. *tilia* ‘linden’, but this is problematical from both phonological and formal points of view (Latin *tilia* ‘linden’ should be connected with Gk. τιλίαι pl. ‘black poplars’, mentioned in Hesychius’ lexicon).

μῶρον n. ‘id.’, Modern Greek μοῦρο; Lat. *mōrum* n. ‘mulberry’; Arm. *mor* ‘blackberry, mulberry’ (see Pokorny 1959:749; Vendryes 1960:M-40; Frisk 1967:256; Chantraine 1947:713).

The above-mentioned suffix is especially frequent in both the Greek and Armenian languages and can be found in such words as:

4.7. Gk. μηλέα f. ‘apple-tree’, Dor. μαλέα f. ‘id.’ (from IE. *mālēwā, cf. *mālus* f. ‘id.’, Alb. *mollē* f. ‘apple [tree and fruit]’, Hitt. *mahlaš* ‘vine’ < PIE. *meh₂lós f. ‘apple-tree’);

4.8. Gk. Attic καστανέα f. ‘chestnut-tree’ (from IE. *kastanéwā f. ‘id.’, cf. Gk. Doric κάστανος f., Gaulish **kassanos* ‘oak’: OFr. *chesne*, Fr. *chène* ‘id.’ < IE. **kastanos* f. ‘a tree with edible nuts or acorns’);

4.9. Gk. εἰτέα f. ‘willow’ (from IE. * θ_1 witēwā f. ‘a kind of willow’, cf. Pol. *witwa* f. ‘osier, wicker’, OPrus. *witwan* ‘willow’, *ape-witwo* ‘osier’ (Trautmann 1923:347; Toporov 1975:984);

4.10. Arm. *kalni* ‘oak’, gen. pl. *kalneac* (from IE. *gʷlh₂nēwā, cf. Arm. *kalin* ‘acorn’, Gk. βάλανος ‘id.’ < *gʷlh₂nos ‘acorn, glans’).

5. BALTIC EVIDENCE FOR INDO-EUROPEAN * θ_2 kPéwā ‘ELDER, *Sambucus nigra* L.’

Related to these two words for ‘elder’, but showing the shift of *a*-stem to *e*-stem, characteristic of Baltic, is Jatvingian *ſjale* ‘*Sambucus*’ (gl. ‘bez’)⁷. This word can reflect Baltic *šewē f., because it is possible to demonstrate that, on the one hand, the Baltic phoneme *e yields Jatv. ’a (written as *ja* and *a* in Zinov’s Glossary⁸), e.g.

5.1. Jatv. *pjarkus* (i.e. *p'arkys*) ‘a pagan god’ (gl. ‘pogańske’) < Baltic **Perkūnas* ‘God of Thunder(bolt)’, originally ‘oak god’, cf. Lith. *Perkūnas*, OPrus. *Percunis* ‘Thunder-god’;

⁷In his article on the Polish-Jatvingian Glossary Zigmantas Zinkevičius (1984:18) finds that the meaning of Jatv. *ſjale* (48 gl. ‘bez’) is obscure because Polish *bez* can be either a preposition meaning ‘without’ or a noun denoting ‘elder-tree’. He also suggests that this word is corrupt. There are no good reasons to accept Zinkevičius’ argument. The Jatvingian word is mentioned next to two other arboreal terms, namely Jatv. *puf'e* ‘pine-tree’ (49 gl. ‘sosna’) and *egle* ‘fir-tree’ (50 gl. ‘jodłowica’). Thus I have no doubts that Jatv. *ſjale* (48) means ‘*Sambucus nigra*’.

⁸Zinov’s Glossary (i.e. the only Polish-Jatvingian glossary) was discovered by V. J. Zinov, a young antiquarian, in 1978 in the Belovežje Forest (Byelorussia) and was published by Zinkevičius (1984 and 1985).

5.2. Jatv. *szjaszi* ‘six’ (i.e. *š’asj*) < Baltic **šeši*, cf. Lith. *šeši* ‘6’.

On the other hand, however, the Baltic phoneme *w* yields *l* [l] in Jatvingian (e.g. in *u*-diphthongs), that is why we have the following notations:

5.3. Jatv. *cauta* ‘guilt, sin’ (gl. ‘wina’) instead of **kalta*, cf. Lith. *kaltē* ‘sin’ with the secondary shift to *e*-stem (both from Baltic **kaltā*);

5.4. Jatv. *sala* ‘sun’ (gl. ‘słońcie’ instead of **saula*, cf. Lith. *sáulē*, Latv. *saûle* ‘sun’, OPrus. *saule*;

5.5. Jatv. *kauni* (pl.) ‘mountains’ (gl. ‘góra’?) instead of **kałni*, cf. Lith. *kálnas* ‘mountain, hill’ (pl. *kalnaî*), Latv. *kałns* (pl. *kałni*) < Baltic **kalnas* ‘id.’ IE. **kolh₃nós*, cf. Gk. *κολωνός* ‘hummock, tomb’;

5.6. Jatv. *spila* ‘small feather’ (gl. ‘piórko’) instead of **spilwa*, cf. Latv. *spilva* ‘bird down’ (Karulis 1987:138) < Baltic **splywā* f.; note also Latv. *spalva* ‘feather’ with an apophonical *o*-grade.

In my opinion, the Jatvingian word for ‘*Sambucus nigra*’ cannot be separated from Lith. *šeivā-medis*, *šeiv-medis* ‘elder’, a compound containing Lith. *mēdis* ‘tree’ (cf. Jatv. *mejdo* ‘tree’, gl. ‘drzewo’, OPrus. *median* ‘forest’)⁹. Some doubts may be raised by the presence of an unexpected *ei*-diphthong in the Lithuanian term for ‘elder’; however, this can have arisen by folk-etymology (‘tree with holes’, cf. Lith. *kiáur-medis*, English *hollow-tree*, OHG. *holun-tar*, all meaning ‘elder’) on account of an analogy to the Lithuanian noun *šeivà* ‘pipe, tubule’.

It is worth emphasizing here that the lack of “prothetic vowels” is quite regular in Baltic languages, e.g. Lith. *bruvis*, Latv. *bruvs* ‘eyebrow’ < **θ₃bhrūs* f. ‘id.’, cf. Greek *ἀφρύς* f. ‘eyebrow’, Paleo-Macedonian *ἀβροῦτες* (pl.) ‘eyebrows’.

6. NOTES ON THE ORIGIN OF DACIAN *σέβα* ‘ELDER’

The Dacian word *σέβα*, *seva* ‘*Sambucus nigra L.*’, mentioned by Dioscurides Medicus (1st century A. D.), may reflect the Indo-European etymon **θ₂kF éwā* f., if we accept the lack of the “prothetic vowel” *θ₂-* in Dacian. It can be added that the correspondence between Dacian and Lithuanian names for ‘elder’ was found many years ago by Tomaschek (1894:84), who notes on this tree that “the branches are full of pith and towards the tips

⁹For morphological parallels, see Ukrainian *bez-derevo* (XVIII century A.D.), literally ‘elder-tree’ (cf. Ukr. *derevo* ‘tree’), and also Skt. *pītu-dāru* ‘a conifer’ beside Gk. *πίτυς* f. ‘fir, pine, spruce’ (cf. Skt. *dāru* n. ‘tree’).

they are empty” (Die Äste sind mit Mark gefüllt und werden zuletzt hohl)¹⁰; from this comes E. ‘hollow-tree’, OHG. *holun-tar*, German *holunderbaum*, Lith. *kiáur-medis* (cf. Lith. *pakiaurūs* adj. ‘locker, löcherig’), and also *šeivā-medis* (i.e. ‘Spülchenbaum’ in Tomaschek’s interpretation) from *šeivà* ‘pipe, tubule’. His observation was continued by Detschev (1957:559), who derives Dacian *σέβα*, *seva* ‘elder’ from the Indo-European root **kew-* ‘to swell, vault, to be hollow’ (see Pokorny 1959:592f.), and independently by Georgiev (1964:11; 1977:196; 1981:122), who compares the Dacian word with Lith. *šeivà* f. ‘pipe, tubule’ deriving both from IE. **kéiwā* (cf. also Neroznak 1978:63). Both etymologies cannot be maintained. Detschev’s explanation remains an example of a typical “Wurzeletymologie”, which does not meet the basic linguistic requirements, whereas Georgiev’s derivation from **kéiwā* must be rejected for both semantical (? ‘pipe, tubule’) and phonological reasons (lack of the Dacian diphthong *ei*-).

The etymology suggested in this paper seems to be more reliable, because the Dacian name for ‘elder’ is clearly the exact equivalent, both as to the shape and meaning, of Greek *ἀκτέα* ‘elder’, Armenian *hac’i* ‘ash’ and Baltic **šeivā* ‘elder’ (testified by Jatv. *ſjale* and Lith. *šeivā-medis* ‘id.’).

7. SPECULATIONS ON THE BESSAN AND ALBANIAN COGNATES

As far as I know, only one gloss, nominally described as belonging to the language of the Bessans, has survived in the ancient sources: *ἄσα* (formerly **ἄσέα*), i.e. *ἄκροφυλλον* ‘colt’s foot, *Tussilago farfara*’ (cf. Sotiroff 1963:105; Neroznak 1978:41). This gloss has an evident relation to the Albanian term *ashë*, meaning ‘colt’s foot’ on the one hand, and ‘*Ilex aquifolium*’ on the other. This coincidence is striking both phonetically (Alb. *ë* is a middle vowel, not clearly articulated, resembling Rum. *ă* or Bulg. *ə*) and semantically. Both terms seem to continue the Indo-European protoform **θ₂kF éwā* ‘elder’ as well. The phonological aspects are unquestionable here, whereas the semantic change ‘*Sambucus nigra*’ > ‘*Ilex aquifolium*’ > ‘*Tussilago farfara*’ is fully motivated by the similarity of sharp-pointed leaves of all

¹⁰Cf. Georgiev (1981:122). Quite an improbable etymology of Dacian *σέβα*, *seva* ‘elder’ was given by Friedrich (1970:31, note 9), who compares it with Gaulish *σκοβίη* ‘id.’, Slavic **bɔz̥i* and Latin *sambūcus* ‘id.’. Later he adds also Hittite *šampukki* ‘a caserole dish’ (ein Topfgericht), the more limited meaning of which as a cooked food made of elderberries is far from established (Friedrich 1970a:149-150).

plants in question. Moreover, if the proposed etymology of the Bessian and Albanian words is correct, then their significant semantic similarity¹¹ proves a genetic relationship between Albanian and the language of the Bessans. Stating this Bessian-Albanian lexical resemblance, one cannot overlook the great semantic and phonetic divergence between Dacian *σέβα*, *seva* f. ‘*Sambucus nigra*’ and the Bessian-Albanian term *ἀσᾶ*/*ashē* ‘**Ilex aquifolium*; *Tussilago farfara*’, which speaks against the formerly suggested hypothesis about the Dacian origin of Albanian.

8. CONCLUSIONS AND SUMMARY

The lexical evidence given above indicates that the archaic lexeme **ə₂kP éwā* f., postulated in this paper, existed in the Indo-European protolanguage. The observations presented here have enabled me to suggest the following lexical entry that will be included in a new version of an Indo-European Dictionary¹²:

**äkP éwā* f. (*a*-stem) ‘elder, Holunderbaum, czarny bez, *Sambucus nigra* L.’, also **äkP éwos* m. (< f.) ‘id.’.

Gk. *ἀκτέα* f. ‘elder’, Attic *ἀκτῆ* (borrowed into Lat. *acte* ‘id.’); only once *ἀκτέος* m. // Arm. *hac'i* (gen. pl. *hac'eac'*) ‘ash’ // Dac. *σέβα*, *seva* f. ‘elder’ // ? Bessian *ἀσᾶ* (formerly **ἀσέα*) ‘the plant *Tussilago farfara*'; Albanian *ashē* f. ‘id.; the tree *Ilex aquifolium*’ // Baltic *šewā* f. ‘elder’: Jatv. *fjale* ‘id.’ (gl. ‘bez’); Lith. *šeivā-medis*, *šeiv-medis* ‘elder-tree’ (cf. Lith. *mēdis* ‘tree’).

¹¹Probably the Bessian term *ἀσᾶ* meant not only ‘colt’s foot’ but also ‘*Ilex aquifolium*'; the lack of confirmation of the latter meaning is easily explained by the incompleteness of the ancient data and by the accidental character of the bequeathed vocabulary.

¹²The mode of presentation follows the principles worked out for a new Indo-European dictionary, prepared by a research group at the University of Łódź (see Danka & Witczak 1990:316–317). For reasons of convenience, we use the notations ē ā ō instead of the “hieroglyphic signs” ḥ₁ ḥ₂ ḥ₃ (as in the traditional symbolism) to denote e-/a-/o-coloured vocalized laryngeals.

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Indo-European Lexicon Project
Dept. of Classical Philology
University of Łódź
ul. Wólczańska 90, III p.
PL-90-522 Łódź

Krzysztof Tomasz Witczak